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A Comprehensive Insight to the Physical Properties of NaCuZrS₃

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ABSTRACT: To gain deeper insights into the characteristics of orthorhombic NaCuZrS₃, this study investigates its mechanical, electronic and thermal properties using a theoretical approach grounded in density functional theory (DFT). The computed structural parameters align closely with experimental data. Additionally, its elastic constants and moduli show an increasing trend as pressure increases. It confirms that this material is brittle at 0 GPa, but as the pressure increases, it transforms into a ductile material after 10 GPa. Through computational analysis, the electronic properties for NaCuZrS₃ are determined. The material is an indirect bandgap semiconductor with a bandgap of 0.61 eV. The elastic constants were used to determine mechanical stability. Furthermore, thermodynamic properties were calculated and analyzed the physical and chemical nature of NaCuZrS₃.

KEYWORDS: NaCuZrS₃; electronic properties; elastic properties; thermodynamic properties

1 Introduction

Generally, copper-containing chalcogenides have recently attracted considerable interest due to their promising thermoelectric applications, owing to their low thermal conductivity. They are also applicable in areas such as gas sensing, solar cell design and photocatalysis [1–3]. Now, lithium-ion batteries have seen increasingly widespread application. However, safety concerns are drawing more attention owing to the use of combustible organic liquid electrolytes. All-solid-state batteries (ASSBs) have become the most competitive alternative due to their improved safety, greater energy density, extended cycle life, and superior capacity [4,5]. Compared to lithium-ion ASSBs, sodium-ion ASSBs have lower costs, making them more suitable for large-scale applications. NaCuZrS₃ is an excellent sodium battery material, composed of abundant, earth-rich, and environmentally friendly elements. This compound adopts the orthorhombic KCuZrS₃-type crystal structure and is assigned to the Cmcm space group [6,7]. The performance of this material is excellent, but its mechanical and thermodynamic properties are not yet fully developed. The orthorhombic structures with Cmcm was not discussed. Unfortunately, the influence of pressure on the structural, mechanical and thermodynamic properties of orthorhombic NaCuZrS₃ is unknown. To explore the high pressure behavior, in this paper, we report the results of calculations of NaCuZrS₃ based on DFT. The correctness of first-principles calculations has been verified [8–14]. The findings presented in this paper will offer fundamental information for the future utilization of NaCuZrS₃. The purpose of this paper is to fill in this gap. This is accomplished by calculating the structural, electronic and elastic properties of the NaCuZrS₃ using a first-principle method. The general trends in crystal structures, elastic and thermodynamic properties of NaCuZrS₃ was analyzed in detail.

2 Calculation Method

We used CASTEP within the Material Studio package [15,16]. The exchange-correlation functional employed was the Generalized Gradient Approximation (GGA-PBE), and the pseudopotential used was the OTFG ultrasoft pseudopotential [17–19]. For structural relaxation calculations, the plane-wave energy cutoff in reciprocal k -space was set to 600 eV, and the Brillouin zone k -points were set to a $5 \times 5 \times 5$ mesh. During the calculations, the tolerances of the self-consistent field (SCF), energy, maximum forces per atom and maximum displacement were 5×10^{-7} eV/atom, 5×10^{-6} eV, 0.01 eV/Å and 5×10^{-4} Å, respectively.

3 Results and Discussion

3.1 Structural Optimization

NaCuZrS₃ (Fig. 1) is a chalcogenide with a structure similar to post-perovskite, featuring an orthorhombic crystal structure belonging to the Cmc_m space group. According to x-ray diffraction data, the lattice parameters are: $a = 3.69$ Å, $b = 12.84$ Å, $c = 9.73$ Å [9]. This study uses theoretical values $a = 3.66$ Å, $b = 12.94$ Å, $c = 9.68$ Å [20]. The atomic coordinates are: Na (0, 0.7482, 0.25), Cu (0, 0.46692, 0.25), Zr (0, 0, 0), S1 (0, 0.36743, 0.05630), S2 (0, 0.07682, 0.25).

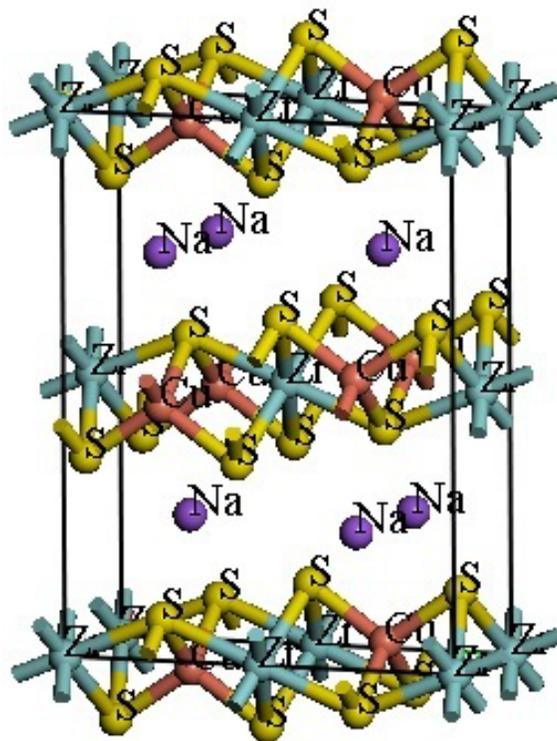


Figure 1: Unit cell of NaCuZrS₃.

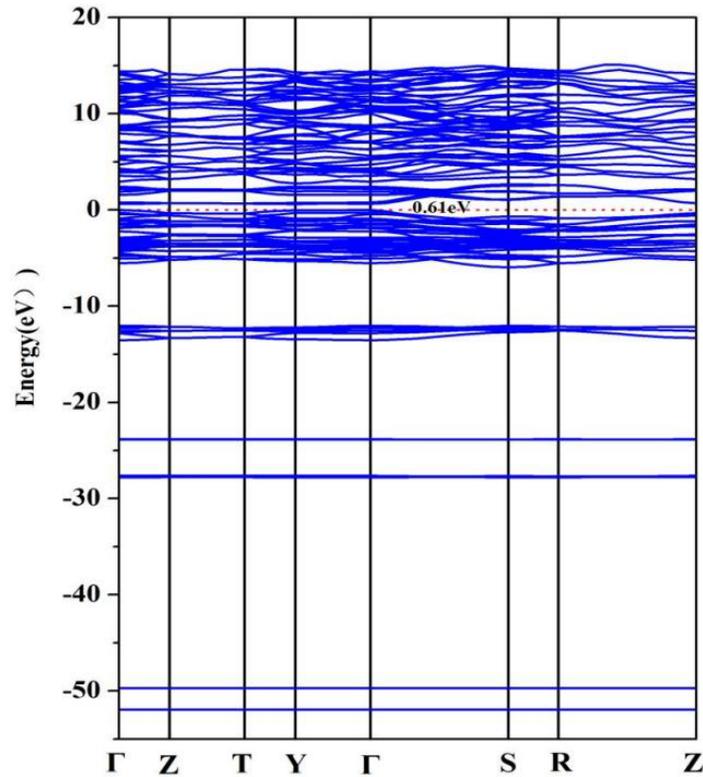
The calculated lattice parameters show small errors compared to experimentally and theoretical data, as listed in Table 1 for lattice parameters under different pressures. Furthermore, according to Refs. [21–23], the formation energy (−811.95 eV/atom), cohesive energy (890.39 eV/atom), binding energy (−822.47 eV/atom), tolerance factor (0.89), and octahedral factor (0.40) is calculated. The negative formation energy observed for the material confirms structural stability.

Table 1: Lattice parameters of NaCuZrS₃ under different pressures.

Pressure (GPa)	<i>a</i> (Å)	<i>b</i> (Å)	<i>c</i> (Å)
Exp. [9]	3.69	12.84	9.73
Theo. [20]	3.66	12.94	9.68
0	3.73	13.18	9.78
10	3.60	11.98	9.45
20	3.52	11.48	9.22
30	3.45	11.17	9.03
40	3.40	10.93	8.88
50	3.36	10.76	8.74

3.2 Band Structure

The electronic structure is very important for semiconductor materials. The band structure diagram of NaCuZrS₃ at 0 GPa, obtained after optimization using the GGA-PBE method, is shown below. From Fig. 2, it can be seen that this material exhibits an indirect bandgap valence. The calculated bandgap size using the PBE method is approximately 0.61 eV.

**Figure 2:** Band structure of NaCuZrS₃.

Analysis of the density of States (DOS) plots in Fig. 3 shows that the valence band near the Fermi level spans from -60 eV to 0 eV. Based on the electronic structure analysis, the conduction band minimum is primarily contributed by the Zr d-orbitals, whereas the valence band maximum is mainly dominated by the Na p-orbitals, Cu d-orbitals, Zr p-orbitals and S p-orbitals.

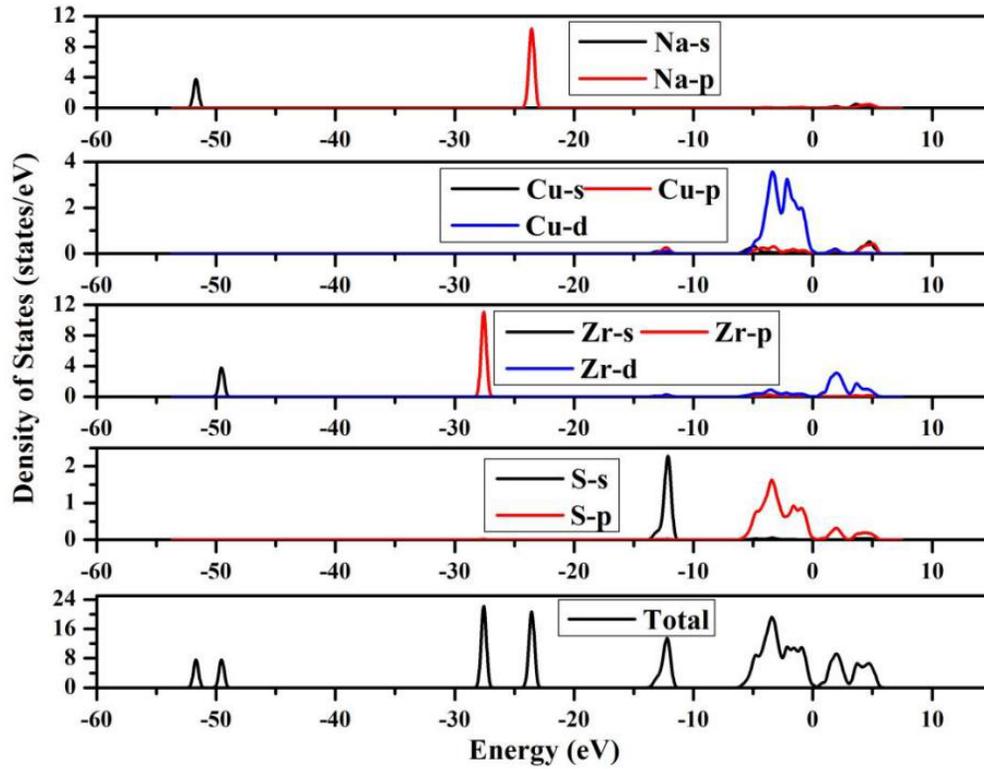


Figure 3: Density of States of NaCuZrS₃.

3.3 Elastic Properties of NaCuZrS₃

The calculated elastic constants C_{ij} (GPa) for the NaCuZrS₃ crystal are presented in Table 2. NaCuZrS₃ has an orthorhombic crystal structure with nine independent elastic components.

Table 2: Elastic constants C_{ij} (GPa) of NaCuZrS₃ under different pressures.

Pressure (GPa)	C_{11}	C_{12}	C_{13}	C_{22}	C_{23}	C_{33}	C_{44}	C_{55}	C_{66}
0	133.58	16.03	16.301	46.86	12.36	135.25	11.30	35.26	20.28
0 Ref. [20]	208.59	23.87	30.04	122.25	25.94	226.50	35.42	71.30	51.59
10	184.35	59.38	44.58	117.62	51.12	213.83	15.02	51.30	47.11
20	233.85	105.10	73.10	176.24	79.12	259.39	26.02	66.37	73.84
30	277.67	147.37	101.28	231.89	108.98	307.11	24.99	80.88	97.67
40	316.45	188.96	121.49	294.75	145.40	357.28	40.45	94.28	118.11
50	353.14	224.51	148.26	328.60	164.73	391.05	41.60	107.69	133.82

Fig. 4 clearly demonstrates that the elastic constants C_{ij} rise as pressure increases, but the growth rates of each C_{ij} are different. The uniaxial compression stiffness C_{33} increases the fastest, indicating that the covalent bonding between atomic layers along this axis is more sensitive to pressure regulation. In contrast, the growth rate of C_{44} is the slowest compared to others, because the lattice slip barrier in the shear deformation mode is less affected by pressure, resulting in shear stiffness being less sensitive to pressure changes.

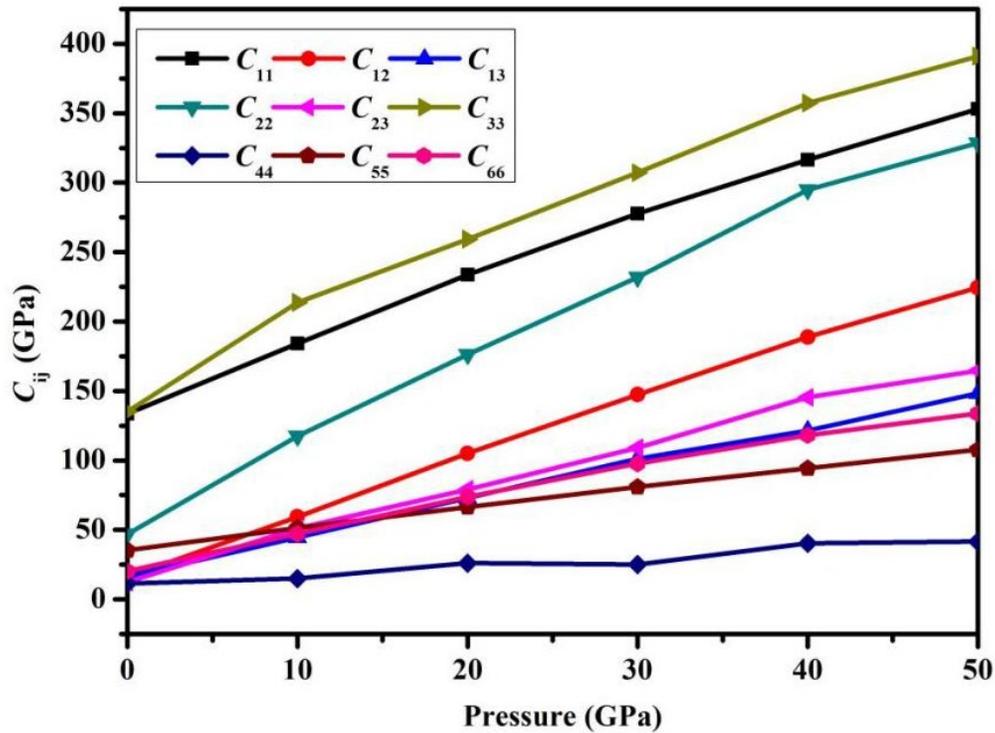


Figure 4: Relationship between pressure and elastic constants.

To evaluate its mechanical stability, the C_{ij} of the orthorhombic NaCuZrS_3 structure were inserted into Eqs. (1) and (2) [24]. The results (Tables 2 and 3) fulfilled all necessary stability criteria, confirming that the material is mechanically stable.

$$C_{11} > 0; C_{11}C_{12} > C_{12}^2; C_{44} > 0; C_{55} > 0; C_{66} > 0 \quad (1)$$

$$C_{11}C_{22}C_{33} + 2C_{12}C_{13}C_{23} - C_{11}C_{23}^2 - C_{22}C_{13}^2 - C_{33}C_{12}^2 > 0 \quad (2)$$

Table 3: Mechanical stability criteria for NaCuZrS_3 .

Pressure (GPa)	C_{11}	$C_{11}C_{12} - C_{12}^2$	C_{44}	C_{55}	C_{66}	$C_{11}C_{22}C_{33} + 2C_{12}C_{13}C_{23} - C_{11}C_{23}^2 - C_{22}C_{13}^2 - C_{33}C_{12}^2 > 0$
0	133.58	1885.18	11.30	35.26	20.28	785,502.78
10	184.35	7421.04	15.02	51.30	47.11	3,437,892.26
20	233.85	13,532.12	26.02	66.37	73.84	6,635,552.03
30	277.67	19,202.46	24.99	80.88	97.67	10,682,142.89
40	316.45	24,090.98	40.45	94.28	118.11	16,204,149.55
50	353.14	28,878.89	41.60	107.69	133.82	19,828,770.12

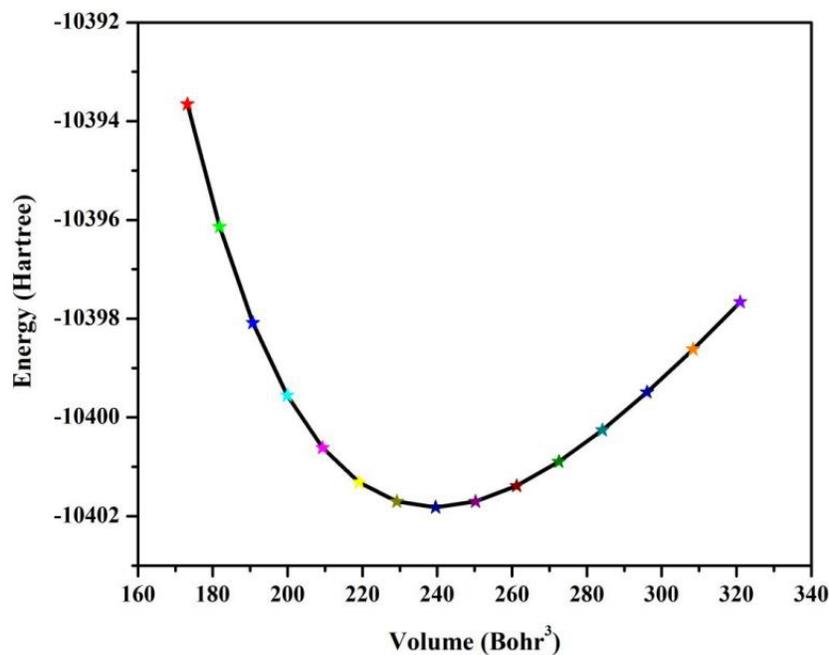
The values of the bulk modulus, shear modulus, Young's modulus, and Poisson's ratio were calculated from the C_{ij} using the Hill average method [25–27], as shown in Table 4. According to Pugh, the ratio of the B to the G can also determine whether a material is brittle (ductile), with a B/G value less than (greater than) the critical value of 1.75 [28]. Typically, a value of 1.496, as determined in Table 4, confirms that this material is brittle, but as the pressure increases, it transforms into a ductile material after 10 GPa.

Table 4: Elastic moduli under different pressures.

Pressure (GPa)	B_v	B_R	B	G_v	G_R	G	E	ν	B/G
0	45.01	35.48	40.24	31.44	22.35	26.90	65.99	0.23	1.50
10	91.78	87.43	89.60	46.74	34.46	40.60	105.81	0.30	2.21
20	131.57	129.58	130.58	60.73	50.23	55.48	145.79	0.31	2.35
30	170.22	169.38	169.8	71.313	54.45	62.88	167.92	0.34	2.70
40	208.91	208.91	208.91	84.747	71.20	77.97	208.03	0.33	2.68
50	238.65	238.53	238.59	92.313	75.13	83.72	224.86	0.34	2.85

3.4 Thermodynamic Properties

The thermodynamic properties of NaCuZrS_3 were investigated using energy-volume (E - V) (Fig. 5) data in conjunction with the quasi-harmonic Debye model approach [29,30].

**Figure 5:** Relationship between total energy E and volume V .

The trend in Figs. 6 and 7 is as follows: at a fixed temperature, B is positively correlated with P . Under constant pressure conditions, as T increases, B decreases. The co-design of pressure/temperature is more instructive for material development.

The thermodynamic properties are given in Table 5. As the P rises from 0 GPa to 50 GPa, in the low-temperature range of 300 K to 600 K, the entropy S decreases by 40.43%, the Debye temperature Θ_D increases by 68.79%, and the Grüneisen constant γ decreases by 41.32%. In the mid-temperature range of 600 K to 900 K, the entropy S decreases by 28.85% and 23.86% respectively; the Debye temperature Θ_D increases by 71.72% and 76.15% respectively; the Grüneisen constant γ decreases by 42.11% and 42.85% respectively. In the mid-to-high temperature range of 900 K to 1500 K, the entropy S decreases by 22.68% and 21.59% respectively, the Debye temperature Θ_D increases by 79.14% and 83.33% respectively, and the Grüneisen constant γ decreases by 44.02% and 45.17% respectively. It can be concluded that with increasing pressure, S decreases slowly due to the suppression of low-frequency phonons by high pressure, while Θ_D

exhibits minimal variation between high and low temperatures, γ decreases somewhat more rapidly at elevated temperatures compared to lower ones.

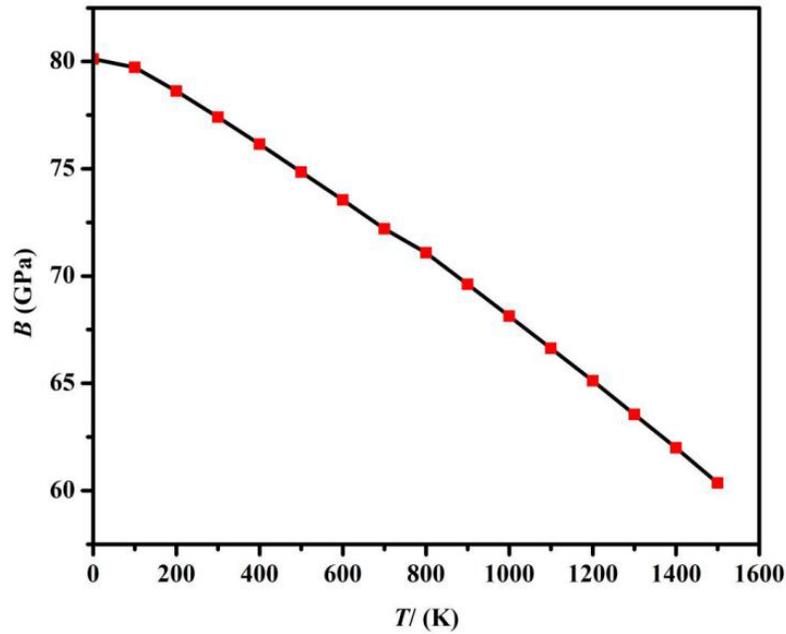


Figure 6: Relationship between B and T .

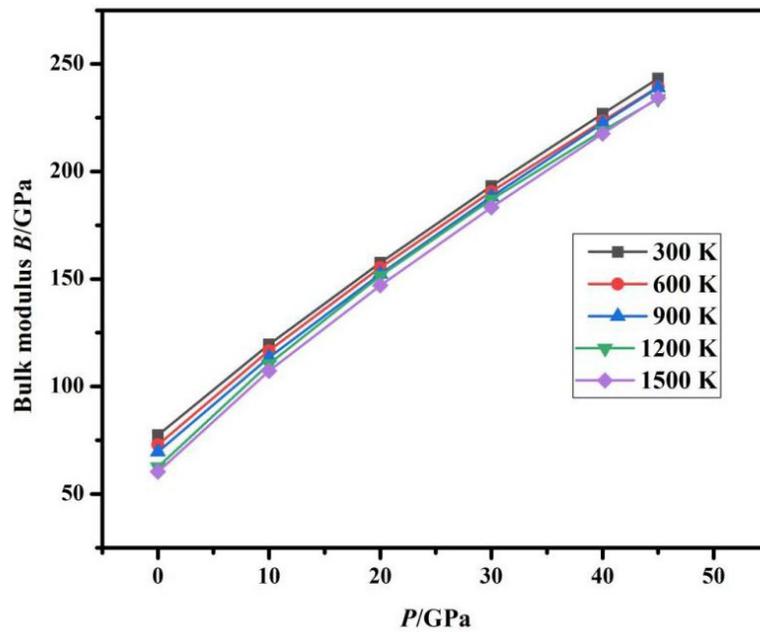


Figure 7: Relationship between B and P .

Figs. 8 and 9 is based on calculations using the quasi-harmonic approximation method, where T and P represent two key parameters influencing C_v and C_p . In the temperature range of 0–300 K, the heat capacity increases exponentially, primarily due to the gradual excitation of lattice vibration modes (phonons). In this stage, the Debye model dominates, and the $C_v \propto T^3$ characteristic is significant. In the mid-temperature range of 300–700 K, the rate of heat capacity increase begins to slow down, transitioning to a linear growth

region, corresponding to the activation of high-frequency modes in the phonon density of states and the gradual emergence of electronic thermal excitation contributions. When T is greater than 700 K (high temperature), C_v hardly changes anymore and begins to saturate, as the thermodynamic degrees of freedom reach their maximum. At a constant temperature T , as the pressure P increases, the heat capacity C_v exhibits a generally decreasing trend: in the low-temperature region of 0~300 K, C_v decreases rapidly, as high pressure significantly suppresses phonon vibrational entropy, leading to a rapid reduction in C_v ; in the mid-temperature region of 300 K~700 K, the decrease in C_v slows down; at high temperatures greater than 700 K, C_v is almost unaffected by pressure. As T and P continuously increase, the heat capacity C_v is entirely determined by the Dulong-Petit limit value. According to Fig. 9, at low temperatures, the change in C_p is similar to the change in C_v .

Table 5: Entropy $S/\text{J}\cdot\text{mol}^{-1}\cdot\text{K}^{-1}$, Debye temperature Θ_D/K , and Grüneisen constant γ for NaCuZrS_3 at temperatures (300–1500 K) and pressures (0–50 GPa).

T/K	P/GPa	0	10	20	30	40	50
300	S	168.56	140.17	123.63	112.30	103.90	100.40
	Θ_D	383.97	473.49	537.51	587.96	629.55	648.11
	γ	2.24	1.86	1.65	1.50	1.37	1.32
600	S	270.80	238.68	219.81	206.70	196.82	192.67
	Θ_D	376.36	468.98	534.34	585.54	627.62	646.32
	γ	2.28	1.88	1.66	1.50	1.38	1.32
900	S	350.70	315.73	295.67	281.80	271.37	267.01
	Θ_D	365.44	462.55	529.77	582.04	624.84	643.73
	γ	2.31	1.88	1.66	1.50	1.38	1.32
1200	S	380.43	343.85	323.15	309.17	298.56	294.13
	Θ_D	359.13	459.2	527.96	580.23	623.38	642.37
	γ	2.38	1.91	1.68	1.52	1.39	1.33
1500	S	417.85	378.74	357.36	342.96	332.12	327.61
	Θ_D	349.3	454.03	524.14	577.48	621.21	640.39
	γ	2.44	1.93	1.68	1.53	1.39	1.34

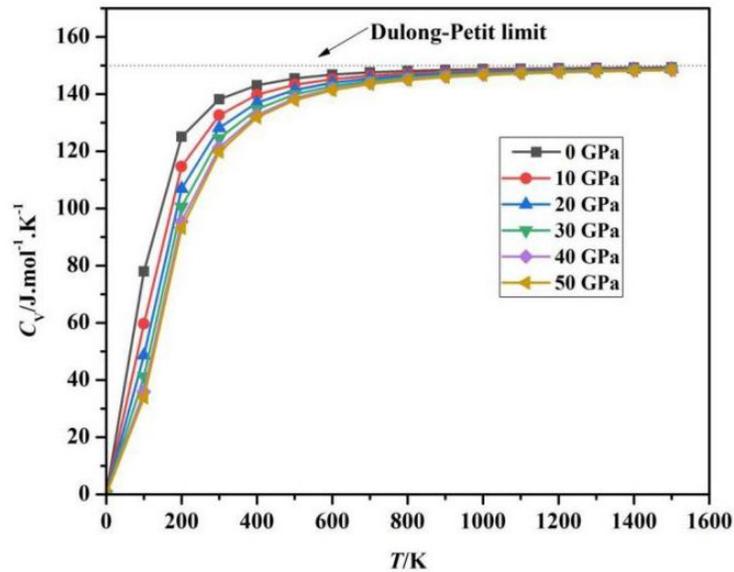


Figure 8: Relationship between C_v and T under different pressures.

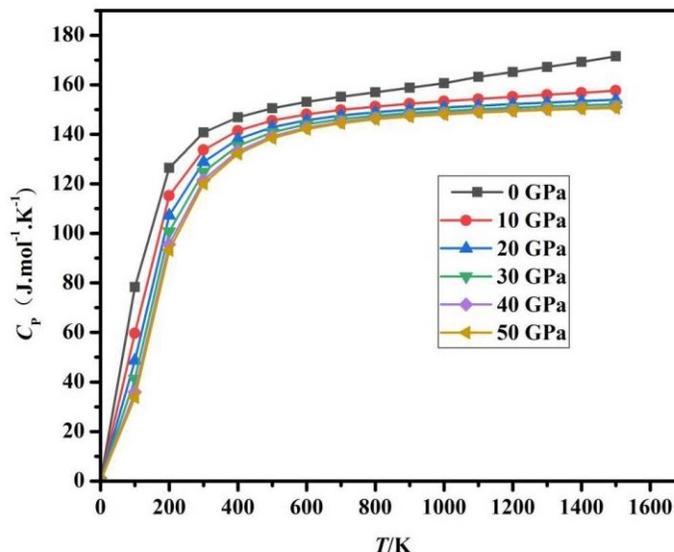


Figure 9: Relationship between C_p and T under different pressures.

Fig. 10 presents the calculated dependence of the thermal expansion coefficient α on temperature T under various pressure conditions. Under low pressure (0 GPa and 10 GPa) and low temperature (less than 300 K), α increases super-linearly; in the mid-temperature range (greater than 300 K), the growth rate of α tends to flatten. Especially under high-temperature conditions, α remains almost constant. Under high-pressure environments, the anharmonic vibrations of high-energy optical phonons are suppressed, and their thermal excitation contribution to volume change tends to be isotropic, leading to the disappearance of temperature sensitivity in α . Fig. 11 illustrates the calculated variation of the α with pressure P at various temperatures. At a constant temperature, as the pressure gradually increases, α shows a decreasing trend. Under high pressure (exceeding 15 GPa), pressure suppresses the anisotropy of thermal expansion, and the expansion coefficient gradually levels off, with α being almost unaffected by T and P .

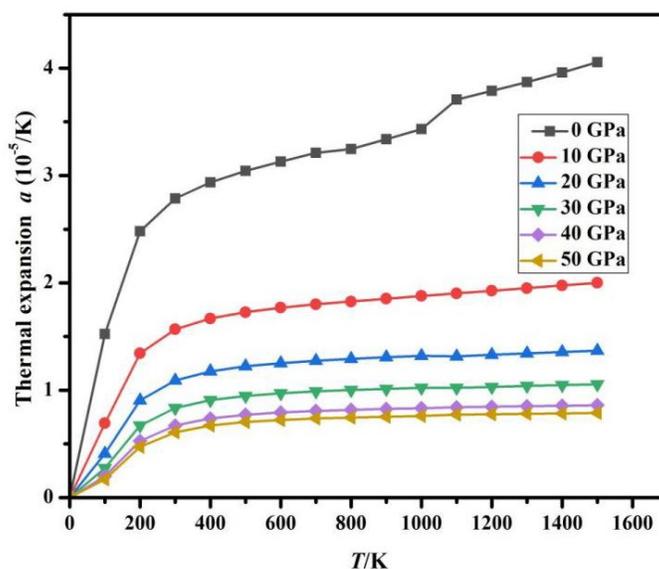


Figure 10: Relationship between α and T under pressure gradients.

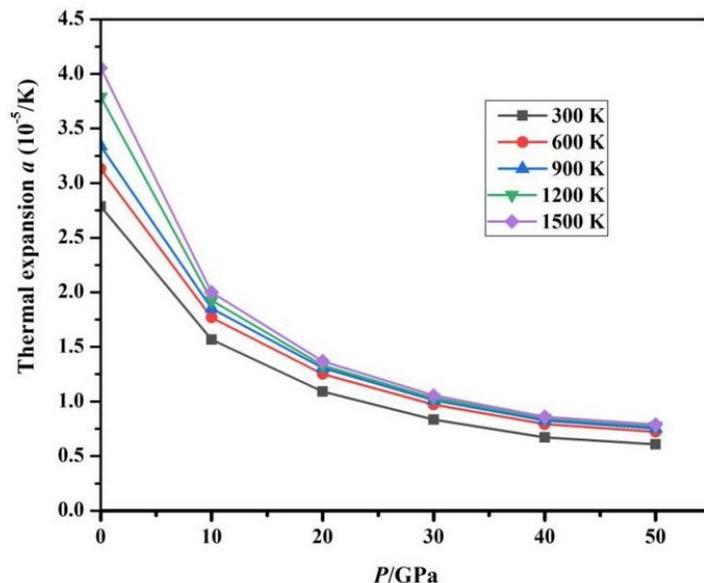


Figure 11: Relationship between α and P at different temperatures.

4 Conclusion

In this work, we employed a first-principles methodology to investigate the structural, elastic, electronic, and thermodynamic properties of orthorhombic NaCuZrS₃. First, structural optimization was performed, and then the physical properties of NaCuZrS₃ were calculated. Optimization under different pressures found that the lattice parameters of NaCuZrS₃ continuously decrease. Through band structure calculations, the bandgap at 0 GPa is 0.61 eV, which matches the theoretical calculated value. Calculation of elastic moduli yielded the elastic constants C_{ij} and physical parameters of mechanical properties for NaCuZrS₃. In the thermodynamic property calculations, we found that entropy decreases significantly at low temperatures, γ changes little with temperature, and the graphs of C_v vs. T and C_p vs. T under different pressures show that the C_v eventually approaches the Dulong-Petit limit value. The α tends to level off with increasing temperature or pressure.

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